IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

PLUMBERS AND PIPEFITTERS LOCAL
UNION NO. 74 ANNUITY PLAN,
PLUMBERS AND PIPEFITTERS LOCAL
NO. 74 WELFARE PLAN, AND PLUMBERS
AND PIPEFITTERS LOCAL UNION NO. 74
PENSION PLAN (formerly the PIPEFITTERS
LOCAL UNION NO. 80 AND EMPLOYERS
JOINT PENSION FUND), and SCHOLARSHIP
FUND OF THE PLUMBERS AND PIPEFITTERS
LOCAL UNION NO. 74
c/o Gem Group
Brandywine Corporate Center
650 Naamans Road, Suite 303
Claymont, DE 19703

and

PLUMBERS AND PIPEFITTERS LOCAL
NO. 74 APPRENTICESHIP FUND,
PIPEFITTERS LOCAL UNION NO. 74
EDUCATIONAL/PAC FUND,
AND LOCAL UNION NO. 74 OF THE
UNITED ASSOCIATION OF JOURNEYMEN
AND APPRENTICES OF THE PLUMBING
AND PIPEFITTING INDUSTRY OF THE
UNITED STATES AND CANADA, AFL-CIO
(formerly Pipefitters Local No. 80)
3200 Old Capital Trial
Wilmington, DE 19808
Plaintiffs,

٧.

CARRIER CORPORATION
Carrier Parkway, Thompson Road
Syracuse, NY 13221

Defendant.

COMPLAINT

Plaintiffs, by undersigned counsel, complain about Defendant as follows:

CIVIL ACTION NO. 07-CV-

JURISDICTION

- 1. This Court has jurisdiction over the subject matter of this action under 29 U.S.C. §§185(a) or 1132(e)(2).
- 2. A copy of this Complaint has been served on the Secretary of Labor and the Secretary of Treasury of the United States by certified mail.

VENUE

3. Venue lies in the District of Delaware under 29 U.S.C. §185(a) or 1132(e)(2).

PARTIES

- 4. Plaintiffs, Plumbers and Pipefitters Local Union No. 74 Annuity Plan, Plumbers and Pipefitters Local No. 74 Welfare Plan, Plumbers and Pipefitters Local Union No. 74 Pension Plan (formerly, the Pipefitters Local Union No. 80 and Employers Joint Pension Fund), Scholarship Fund of the Plumbers and Pipefitters Local Union No. 74, Plumbers and Pipefitters Local No. 74 Apprenticeship Fund (respectively, "Annuity Fund," "Welfare Fund," "Pension Fund", "Scholarship Fund", and "Apprenticeship Fund", and, jointly, "Funds"), are trust funds established under 29 U.S.C. §186(c)(5) and "multiemployer plans" and "employee benefit plans" within the meaning of 29 U.S.C. §1002(37),(1),(2) and (3). The Funds are administered from offices located at the address(es) listed in the caption.
- 5. Plaintiff, Pipefitters Local No. 74 Education/PAC Fund ("PAC"), is an unincorporated association established pursuant to 2 U.S.C. §431 *et seq*. for the purpose of advancing the political interests of the members of the union by lawfully influencing the selection, nomination, election and/or appointment of individuals for political office. The PAC is administered from offices located at the address in the caption.
 - 6. Plaintiff, Local Union No. 74 of the United Association of Journeymen and

Apprentices of the Plumbing and Pipefitting Industry of the United States and Canada, AFL-CIO (formerly Pipefitters Local No. 80) ("Union"), is an unincorporated association commonly referred to as a labor union, and is an employee organization that represents, for purposes of collective bargaining, employees of Carrier Services who are and/or were employed in an industry affecting interstate commerce within the meaning of 29 U.S.C. §§152(5), (6) and (7), 185(a) and 1002(4), (11) and (12). The Union maintains its principal place of business at the address listed in the caption.

7. Defendant, Carrier Corporation ("Company"), is a Delaware corporation and an employer in an industry affecting commerce within the meaning of 29 U.S.C. §§1152(2), (6) and (7), 1002(5), (11) and (12) with a business office at the address listed in the caption.

COMMON FACTS

- 8. At all times relevant to this action, the Company for its Carrier Building Services branch was party to a collective bargaining agreement(s) with the Union (singly or jointly, "Labor Contract").
- 9. The Company for its Carrier Building Services branch also signed or agreed to abide by the terms of agreements and declarations of trust of the Funds ("Trust Agreements") made between certain employers and employee representatives in an industry(ies) affecting interstate commerce to promote stable and peaceful labor relations.
- 10. Under the Labor Contract or Trust Agreements, the Company for its Carrier Building Services branch agreed:
- (a) To make full and timely payments on a monthly basis to the Funds, Union and PAC as required by the Labor Contracts;
 - (b) To file monthly remittance reports with the Funds, Union and PAC

detailing all employees or work for which contributions and work dues were required on the Labor Contract.

- (c) To produce, upon request by the Funds, Union and PAC, individually or jointly, all books and records deemed necessary to conduct an audit of the Company's records concerning its obligations to the Funds, Union and PAC; and
- (d) To pay liquidated damages and costs of litigation, including attorneys' fees, expended by the Funds, Union and PAC to collect any amounts due as a consequence of the Company's failure to comply with its contractual obligations described in Subparagraphs (a), (b) and (c).

COUNT I - AMOUNTS DUE UNDER CONTRACT - SUM CERTAIN FUNDS, UNION AND PAC

v.

COMPANY

- 11. The allegations of Paragraph 1 through 10 are incorporated by reference as if fully restated.
- 12. On information and belief, the Company owes the Funds, Union and PAC the sum of at least \$9,786.34 as detailed on Exhibit 1.
- 13. Despite request(s) for payment, the Company has not paid the Funds, Union and PAC as required by the Labor Contract or Trust Agreements.

WHEREFORE, Plaintiffs ask that the Court:

(1) Enter judgment against the Company in favor of the Funds, Union and PAC individually for at least \$9,786.34 plus any amounts which become due during the pendency of this lawsuit or as a result of an audit of Company's records together with interest, liquidated

damages and costs, including reasonable attorneys' fees incurred in this action or the collection or enforcement of any judgment, as provided in the Labor Contract or Trust Agreements.

(2) Grant such other or further relief, legal or equitable, as may be just, necessary or appropriate.

COUNT II - AMOUNTS DUE UNDER ERISA - SUM CERTAIN

FUNDS

v.

COMPANY

- 14. The allegations of Paragraph 1 through 13 are incorporated by reference as if fully restated.
- 15. On information and belief, the Company has failed to timely pay contributions, interest and liquidated damages to the Funds in violation of 29 U.S.C. §1145 in at least the sum of \$9,786.34 as detailed on Exhibit 1.
 - 16. The Funds have been damaged by the Company's violation of 29 U.S.C. §1145. **WHEREFORE**, the Funds ask that the Court:
- (1) Enter judgment against the Company and in favor of the Funds, individually, for \$9,786.34 plus any amounts which become due during the pendency of this lawsuit or as a result of an audit of Company's records together with interest at the rate prescribed by 26 U.S.C. §6621 from the due date for payment until the date of actual payment, liquidated damages equal to the greater of the interest on the unpaid contributions or liquidated damages provided by the plan document or statute and reasonable attorneys' fees and costs incurred in this action or the collection or enforcement of any judgment as provided in the Trust Agreements and applicable law.

(2) Grant such other or further relief, legal or equitable, as may be just, necessary or appropriate.

COUNT III - AUDIT

FUNDS, UNION AND PAC

v.

COMPANY

- 17. The allegations of Paragraphs 1 through 16 are incorporated by reference as if fully restated.
- 18. The Funds, Union and PAC are without sufficient information or knowledge to plead the precise nature, extent and amount of the Company's delinquency since the books, records and information necessary to determine this liability are in the exclusive possession, custody and control or knowledge of the Company.
- 19. Computation of the precise amounts of an employer's delinquency is normally achieved by an audit of the employer's books and records and/or calculated from contractually required remittance reports submitted by the employer.
 - 20. There has been no audit of the Company's books and records.
- 21. The Company is required by the Labor Contract, Trust Agreements or applicable law to permit the Plaintiffs to audit its records and to cooperate in determining the contributions due the Plaintiffs.
- 22. The Plaintiffs have no adequate remedy at law for the calculation of any additional damages suffered as a result of the breach itself requires an audit.
 - 23. All conditions precedent to equitable relief have been satisfied.

 WHEREFORE, the Plaintiffs ask that the Court:

- Enjoin the Company, its officers, agents, servants, employees, attorneys and all (1) others in active concert or participation with them to permit an audit of all records under the actual or constructive control of the Company and, in the absence of records, to cooperate in alternative methods for the determination of work for which contributions are due, and
- Grant such other or further relief, legal or equitable, as may be just, necessary or (2)appropriate.

COUNT IV - CONTRIBUTIONS UNDER CONTRACT AFTER AUDIT **FUNDS, UNION AND PAC**

v.

COMPANY

- The allegations of Paragraphs 1 through 23 are incorporated by reference as if 24. fully restated.
- On information and belief, the Company has failed to make contributions and 25. work dues payments to the Plaintiffs as required by the Labor Contract or Trust Agreements in a period not barred by any applicable statue of limitations or similar bar.
- On information and belief, the Plaintiffs have been damaged by the failure of the 26. Company to make contributions as required by the Labor Contract or Trust Agreements.

WHEREFORE, Plaintiffs ask that the Court:

After an audit, enter judgment against the Company in favor of the Plaintiffs (1)individually for the amount of contributions and work dues found due and owing by an audit together with liquidated damages, interest and costs, including reasonable attorneys' fees incurred in this action or the collection and enforcement of any judgment as provided in the Labor Contract or Trust Agreements.

Grant such other or further relief, legal or equitable as may be just, necessary or (2) appropriate.

COUNT V - CONTRIBUTIONS UNDER ERISA AFTER AUDIT FUNDS

v.

COMPANY

- The allegations of Paragraphs 1 through 26 are incorporated by reference as if 27. fully restated.
- On information and belief, the Company has failed to make contributions to the 28. Funds in violation of 29 U.S.C. §1145 in a period not barred by any applicable statue of limitations or similar bar.
- 29. The Plaintiffs are without sufficient information or knowledge to plead the precise nature, extent and amount of the Company's delinquency because the books, records and information necessary to determine this liability are in the possession, control or knowledge of the Company.
- On information and belief, the Funds have been damaged by the Company's 30. violation of 29 U.S.C. §1145.

WHEREFORE, the Funds ask that the Court:

After an audit, enter judgment against the Company in favor of the Funds (1) individually for the contributions found due and owing by the audit, together with interest at the rate prescribed by 26 U.S.C. §6621 from the due date for payment until the date of actual payment, liquidated damages equal to the greater of the interest on the unpaid contributions or liquidated damages provided by the plan document or statute and reasonable attorneys' fees and costs incurred in this action and in connection with any proceedings to enforce or collect any judgment.

(2) Grant such or further relief, legal or equitable, as may be just, necessary or appropriate.

COUNT VI - INJUNCTION

FUNDS

v.

COMPANY

- 31. The allegations of Paragraphs 1 through 30 are incorporated by reference as if fully restated.
- 32. A money judgment or other remedy available at law is inadequate because the Company has shown its disregard of its contractual and legal obligations by a consistent pattern of delinquencies.
- 33. Unless ordered to do otherwise by this Court, Company will continue to refuse to submit remittance reports and pay the contributions presently due and owing or which become due and owing in the future, and the Funds and their participants will suffer immediate, continuing and irreparable damage by, among other matters, the loss of investment earnings, the inability to properly determine eligibility and calculate benefits, and a substantial increase in the administrative costs of the Funds with a diminution of the assets otherwise available to pay benefits to company's employees and employees of other employers who fully and timely pay their contributory obligations.
 - 34. All other conditions precedent to equitable relief have been satisfied.

 WHEREFORE, the Funds ask that the Court:

- Permanently restrain and enjoin the Company, its officers, agents, servants, (1) employees, attorneys and all others in active concert or participation with them from continuing to violate the terms of the current collective bargaining agreement(s) between the Company and the Union and from violating such other collective bargaining agreements as may from time to time be entered by the said parties providing for the timely filing of remittance reports with complete, accurate and proper information and timely payment of contributions to the Funds for so long as the Company is contractually-required to do so.
- Grant such other or further relief, legal or equitable, as may be just, necessary or (2)appropriate.

YOUNG, CONAWAY, STARGATT & TAYLOR, LLP

BY:

The Brandywine Building

1000 West Street, 1

Wilmington, DE 49801 Telephone: (302) 571-6645

Telefax: (302) 576-3336

Email: tsnyder@ycst.com

OF COUNSEL:

Sanford G. Rosenthal, Esquire

Shanna M. Cramer, Esquire Jennings Sigmond, P.C.

The Penn Mutual Towers, 16th Floor

510 Walnut Street

Philadelphia, PA 19106-3683

(215) 351-0611/0674

Attorneys for Plaintiffs

Dated: MARCH 7, 2007

EXHIBIT 1



IND

IND

IND

158919

162560

164002

08/2006

11/2006

12/2006

09/14/2006

12/12/2006

01/22/2007

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Plumbers & Pipefitters Local 74

All Funds

19 February 2007

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-353.02

1,730.56

1,722.97

0.00

0.00

0.00

Sub Group	Invoice Number	Work Month	Postmark Date	Date Received	Description	Status	Liquidated Damage/ Interest	Discrepancy Amount
IND	156509	02/2006	08/02/2006	08/04/2006	Overage		0.00	-24.06
IND	156510	02/2006	08/02/2006	08/04/2006	Interest on Late Contributions	ATTY	1,204.80	0.00
IND	156510	02/2006	08/02/2006	08/04/2006	Liquidated Damages	ATTY	3,952.23	0.00
IND	154764	05/2006	06/15/2006	06/19/2006	Overage		0.00	-3.74
IND	157008	07/2006	08/14/2006	08/16/2006	Shortage	ATTY	0.00	1,556.60

Overage

Shortage

09/19/2006

12/14/2006

01/24/2007 | Shortage

Net Sub Total Discrepancy:	5	,157.03 4,629.31
Total Net Discrepancy:		9,786.34

ATTY



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19 February 2007

Page 2 of 2

Description Summary

Description	Discrepancy Amount
Interest on Late Contributions	1,204.80
Liquidated Damages	3,952.23
Overage / Shortage	4,629.31

	0.706.24
Fund Total:	9,786.34

Case 1:07-cv-00135-SLR CDYFUR SHEET 03/07/2007 Page 1 of 1
The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.) DEFENDANTS I. (a) PLAINTIFFS Carrier Corporation Plumbers and Pipefitters Local Union No. 74 Annuity Plan, Plumbers and Pipefitters Local Union No. 74 Health and Welfare Plan and Plumbers and Pipefitters Local Union No. 74 Pension Plan (formerly The Pipefitters Local Union No. 80 and Employers Joint Pension Trust Fund) Plumbers and Pipefitters Local No. 74 Apprenticeship Fund, Pipefitters Local Union No. 74 Education/PAC Fund, and Local Union No. 74 of the United Association of Journeymen and Apprentices of the Plumbing and Pipefitting Industry of the United States and Canada, AFL-CIO (formerly Pipefitters Local No. 80) County Of Residence Of First Listed Defendant: County Of Residence Of First Listed Plaintiff: New Castle County, Delaware (b) (IN U.S. PLAINTIFF CASES ONLY) (Except In U.S. Plaintiff Cases) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED Attorneys (Firm Name, Address, And Telephone Number) Attorneys (If Known) (c) Timothy J. Snyder, Esquire Young Conaway Stargatt & Taylor, LLP 1000 West Street, 17th Floor P.O. Box 391 Wilmington, DE 19899-0391 (302) 571-6645 III. CITIZENSHIP OF PRINCIPAL PARTIES (Place An X In One Box For Plaintiff And II. BASIS OF JURISDICTION (PLACE AN X IN ONE BOX ONLY) (For Diversity Cases Only) One Box For Defendant) PTF DEF ☐ 1 U.S. Government Incorporated or Principal Place Citizen of This State □ 1 □□1 (U.S. Government Not a Party) Plaintiff of Business in This State □¤5 □ ¤5 □¤2 □¤2 Incorporated and Principal Place Citizen of Another State ☐ 2 U.S. Government ☐ 4 Diversity of Business in This State Defendant (Indicate Citizenship of □¤6 □¤6 Citizen or Subject of a □ a 3 □ a 3 Foreign Nation Parties in Item III) Foreign Country V. NATURE OF SUIT (Place An X In One Box Only) FORFEITURE/PENALTY BANKRUPTCY OTHER STATUTES TORTS CONTRACT ☐ 400 State Reapportionment PERSONAL INJURY ☐ 610 Agriculture ☐ 422 Appeal 28 U.S.C. 158 ☐ 110 Insurance ☐ 120 Marine PERSONAL INJURY ☐ 410 Antitrust ☐ 362 Personal Injury -Med Malpractice ☐ 620 Other Food & Drug ☐ 310 Airplane [] 423 Withdrawal ☐ 430 Banks and Banking ☐ 625 Drug Related Seizure of ☐ 315 Airplane Product ☐ 130 Miller Act 28 U.S.C. 157 ☐ 450 Commerce/ICC Rates, ☐ 140 Negotiable Instrument Liability ☐ 365 Personal Injury -Property 21 U.S.C. 881 ☐ 630 Liquor Laws ☐ 640 R R & Truck □ 320 Assault, Libel & ☐ 150 Recovery of Overpayment Product Liability PROPERTY RIGHTS ☐ 460 Deportation ☐ 368 Asbestos Personal & Enforcement of Judgment Slander ☐ 470 Racketeer Influenced and ☐ 151 Medicare Act ☐ 330 Federal Employers Injury Product Linbility ☐ 650 Airline Regs ☐ 820 Copyrights Corrupt Organizations

☐ 810 Selective Service 151 Medicate Act
152 Recovery of Defaulted
(Excl. Veterans) ☐ 660 Occupational Liability □ 830 Patent Safety/Health ☐ 340 Marine ☐ 840 Trademark PERSONAL PROPERTY ☐ 850 Securities/Commodities/ ☐ 153 Recovery of Overpayment ☐ 345 Marine Product Liability
350 Motor Vehicle Exchange

☐ 875 Customer Challenge of Veteran's Benefits

☐ 160 Stockholders' Suits ☐ 370 Other Fraud 371 Truth in Lending SOCIAL SECURITY LABOR 12 U.S.C. 3410 ☐ 355 Motor Vehicle ☐ 380 Other Personal ☐ 190 Other Contract ☐ 891 Agricultural Acts ☐ 195 Contract Product Liability Product Liability Property Damage ☐ 892 Economic Stabilization ☐ 710 Fair Labor Standards □ 861 HIA (1395ff) ☐ 360 Other Personal Injury ☐ 385 Property Damage ☐ 862 Black Lung (923) ☐ 863 DIWC/DIWW Product Liability ☐ 720 Labor/Mgmt ☐ 893 Environmental Matters Relations
☐ 730 Labor/Mgmt, Reporting (405(g)) ☐ 894 Energy Allocation Act PRISONER PETITIONS REAL PROPERTY CIVIL RIGHTS ☐ 864 SSID Title XVI ☐ 895 Freedom of Information ☐ 510 Motions to Vacate 441 Voting ☐ 210 Land Condemnation □ 865 RSI (405(g)) & Disclosure Act Act ☐ 442 Employment ☐ 220 Foreclosure Sentence ☐ 740 Railway Labor Act ☐ 900 Appeal of Fee Habens Corpus ☐ 230 Rent Lease & Ejectment [] 443 Housing/ FEDERAL TAX SUITS Determination Under Accommodations ☐ 530 General
☐ 535 Death Penalty ☐ 240 Torts to Land ☐ 790 Other Labor Litigation Equal Access to Justice ☐ 245 Tort Product Liability ☐ 444 Welfare ☐ 440 Other Civil Rights ☐ 950 Constitutionality of ☐ 540 Mandamus & Other ☐ 870 Taxes (U.S. Plaintiff 290 All Other Real Property ⊠ 791 Empl Ret Inc State Statutes ☐ 550 Civil Rights or Defendant)

☐ 871 IRS - Third Party Security Act ☐ 890 Other Statutory Actions ☐ 555 Prison Condition 26 U.S.C. 7609 Appeal to IV. ORIGIN (PLACE AN "X" IN ONE BOX ONLY) District Transferred from another district Judge from ☐ 6 Multidistrict Magistrate □ 5 (specify) ☐ 4 Reinstated or □ 1 Original □ 2 Removed from □ 3 Remanded from Appellate Court Reopened Litigation Judgment Proceeding Court (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE BRIEF STATEMENT OF CAUSE VI. CAUSE OF ACTION DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY.) 29 U.S.C. § 1145: Failure to make contributions to Funds and Union as required by both 29 U.S.C. § 1145 and relevant collective bargaining agreements. Check YES only if demanded in complaint CLASS ACTION ☐ YES ☒ NO DEMAND \$ VII. REQUESTED IN CHECK IF THIS IS A JURY DEMAND: YES NO UNDER F.R.C.P. 23 COMPLAINT: VIII. RELATED CASE(S) (See instructions) DOCKET NUMBER: _ JUDGE: IF ANY

DATE ANY

JUDGE:

DOCKET NUMBER:

DOCKET NUMBER:

FOR OFFICE USE ONLY

RECEIPT #______ AMOUNT ____ AMOUNT ____ AMOUNT ____ AMOUNT ____ AMOUNT ____ NUMBER: _____ MAG. JUDGE ______ MAG. JUDGE ______ MAG. JUDGE _____ MAG. JUDGE ______ S. 44 Reverse

United States District Court for the District of Delaware

Civil Action No. ______ 0 7 - 1 3 5 ____

ACKNOWLEDGMENT OF RECEIPT FOR AO FORM 85

NOTICE OF AVAILABILITY OF A UNITED STATES MAGISTRATE JUDGE TO EXERCISE JURISDICTION

I HEREBY ACKNOWLEDGE REC	CEIPT OF COPIES OF AO FORM 85.
3.707	John Della
(Date forms issued)	(Signature of Party or their Representative)
	. (
	JOHN D RITTER
•	(Printed name of Party or their Representative)
	•
Note: Completed receipt will be filed	in the Civil Action